HL7 Interoperability

Welcome!

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HL7 Interoperability

Objective:



Provide basic HL7 understanding with progressively more complex topic discussion of HL7 standards and interoperability topics.

Topics



- What is HL7?
- HL7 Messages Types
- HL7 Segments
- HL7 Field Formats
- Review of Common HL7 Messages
- Custom Z Segments
- Using NPR to create HL7 Interfaces (real-time versus batch)



- Data Exchange and Communication Standards
- HL7 Version 2.x and 3
- A Closer Look at Version 3
- Interoperability Topics

What is HL7?

HL7 Organization definition: Health Level Seven is one of several <u>American National Standards Institute</u> (ANSI) accredited Standards Developing Organizations (SDOs) operating in the healthcare arena. Most SDOs produce standards (sometimes called specifications or protocols) for a particular healthcare domain such as pharmacy, medical devices, imaging or insurance (claims processing) transactions. Health Level Seven's domain is clinical and administrative data. <u>www.hl7.org</u>

HL7 develops standards to improve information sharing and provides interoperability to allow information exchange between disparate systems.



Each HL7 message is made up of:

- Fields The individual pieces of data within the message (*ex: patient name, dob, ssn*). Individual fields are separated from one another by the bar or "pipe" symbol (|)
- Segments Groupings of fields with similar intent (*ex: Patient information contained within one common segment, charge information contained within one common segment*)
- [] indicates optional segment, {} indicates repeating segment
- Message Type Defines the HL7 classification for the message (what "kind" of message is being sent)
- Control Characters These are used to control the message parsing process with specific control characters defining the start of message, end of segment and end of message.

HL7 Messaging Sample

Let's take a look at a sample HL7 Message:



HL7 Messaging



- Every HL7 message is made up on two or more HL7 segments.
- Every HL7 message has an MSH segment.
- Every HL7 segment ends with a carriage return Since the carriage return is a <u>ASCII code 13</u> (non-printable character) you will not see it when viewing the message.





HL7 has many Message Types, which can make the entire process of determining what you need seem daunting.

- Admission, Discharge, Transfer (ADT)
- Order Message (ORM, ORR, RDE, etc.)
- Financial Management (DFT, BAR)
- Ancillary Data Reporting (ORU, CRM, etc.)
- Master File Notification (MEN, MEQ, etc.)
- Medical Records Information Management (MDM)
- Scheduling (SRM, SIU, SQM)
- Patient Referral (RQI, REF, RQA, etc.)
- Patient Care (PGL, PPR, PPG, etc.)



- Fortunately when evaluating a vendor's product, you usually receive an interface specification document or a list of HL7 interfaces needed.
- Based on that list, you will select the interfaces needed for your specific integration needs.
- The most common Message Types are:
 - Admission, Discharge, and Transfer (ADT)
 - Order Entry (ORM)
 - Ancillary Data Reporting (ORU)



Let's take a look at some common message Segments:

- MSH
- PID
- PV1
- *(and many others)*

HL7 Messaging MSH Segment

A closer look at the MSH Segment:

- Every HL7 message has a Message Segment Header (MSH) segment.
- The MSH segment defines the intent, source, destination and some specifics of the syntax of a message.
- The MSH also contains the Message Control ID (MCI). The MCI is used to acknowledge a receipt of an HL7 message. This will be discussed in more detail.

MSH|^~\&|SEM||PYX||20040301192350||ADT^A04(ADT757452230|P|2.3|||

HL7 Messaging MSH Segment

SEQ	LEN	DT	OPT	ELEMENT NAME
1	1	ST	R	Field Separator
2	4	ST	R	Encoding Characters
3	180	HD	Ο	Sending Application
4	180	HD	Ο	Sending Facility
5	180	HD	Ο	Receiving Application
6	180	HD	Ο	Receiving Facility
7	26	TS	Ο	Date/Time Of Message
8	40	ST	Ο	Security
9	7	CM	R	Message Type
10	20	ST	R	Message Control ID
11	3	PT	R	Processing ID
12	8	ID	R	Version ID
13	15	NM	Ο	Sequence Number
14	180	ST	Ο	Continuation Pointer
15	2	ID	Ο	Accept Acknowledgment Type
16	2	ID	Ο	Application Acknowledgment Type
17	2	ID	Ο	Country Code
18	6	ID	Ο	Character Set
19	60	CE	Ο	Principal Language Of Message

HL7 Messaging PID Segment

A closer look at the PID Segment:

- Patient Identification Segment (PID)
- The PID segment contains the patient specific information specifying the patient associated to the transaction.
- This is not always a required segment but is present whenever patient specific information is being exchanged.
- Key fields include patient name, identifying numbers such as MRN and Account number and demographic info.

PID|||H000000076||TESTCHRISTIANSEN^JOHN^||19931212|M|||1400 CHARLES ST ^^LOVES PARK^IL^61111||885-2277|||S||D000000844|745-69-5847|||^

HL7 Messaging PID Elements

SEQ	LEN	DT	OPT	ELEMENTNAME	
1	4	SI	0	Set ID - PID	
2	20	СХ	В	Patient ID	
3	20	CX	R	Patient Identifier List	
4	20	СХ	В	Alternate Patient ID - PID	
5	48	XPN	R	Patient Name	
6	48	XPN	0	Mother's Maiden Name	
7	26	тѕ	0	Date/Time of Birth	
8	1	IS	0	Sex	
9	48	XPN	0	Patient Alias	
10	80	CE	0	Race	
11	106	XAD	0	Patient Address	
12	4	IS	В	County Code	
13	40	XTN	0	Phone Number - Home	
14	40	XTN	0	Phone Number - Business	
15	60	CE	0	Primary Language	
16	80	CE	0	Marital Status	
17	80	CE	0	Religion	
18	20	СХ	0	Patient Account Number	
19	16	ST	В	SSN Number - Patient	
20	25	DLN	0	Driver's License Number - Patient	
21	20	CX	0	Mother's Identifier	
22	80	CE	0	Ethnic Group	
23	60	ST	0	Birth Place	
24	1	ID	0	Multiple Birth Indicator	
25	2	NM	0	Birth Order	
26	80	CE	0	Citizenship	
27	60	CE	0	Veterans Military Status	
28	80	CE	0	Nationality	
29	26	TS	0	Patient Death Date and Time	
30	1	ID	0	Patient Death Indicator	

PID|||H00000076||TESTCHRISTIANSEN^JOHN^||19931212|M|||1400 CHARLES ST ^^LOVES PARK^IL^61111||885-2277|||S||D00000844|745-69-5847|||^

HL7 Messaging PV1 Segment

A closer look at the PV1 Segment:

- Patient Visit Segment (PV1).
- Used to communicate patient visit-specific information.
- Messages can contain multiple PV1 segments to communicate information about multiple patient visits.
- Key fields include Provider information, Admission information and basic Financial information.

PV1||I|CCU^CCU31^31|ELE||PED^300^B|DEM^DEMING,RICHARD E|^|^|CCU||||AR OU||Y|DEM^DEMING,RICHARD E|IN||SP||||||||||||||||||||||||SWA||ADM IN|||200402181007||^

HL7 Messaging PV1 Elements

SEQ	LEN	DT	OPT	ELEMENT NAME	SEQ	LEN	DT	OPT	ELEMENT NAME
1	4	SI	0	Set ID - PV1	26	12	NM	0	Contract Amount
2	1	IS	R	Patient Class	27	3	NM	0	Contract Period
3	80	PL	0	Assigned Patient Location	28	2	IS	0	Interest Code
4	2	IS	0	Admission Type	29	1	IS	0	Transfer to Bad Debt Code
5	20	CX	0	Preadmit Number	30	8	DT	0	Transfer to Bad Debt Date
6	80	PL	0	Prior Patient Location	31	10	IS	0	Bad Debt Agency Code
7	60	XCN	0	Attending Doctor	32	12	NM	0	Bad Debt Transfer Amount
8	60	XCN	0	Referring Doctor	33	12	NM	0	Bad Debt Recovery Amount
9	60	XCN	0	Consulting Doctor	34	1	IS	0	Delete Account Indicator
10	3	IS	0	Hospital Service	35	8	DT	0	Delete Account Date
11	80	PL	0	Temporary Location	36	3	IS	0	Discharge Disposition
12	2	IS	0	Preadmit Test Indicator	37	25	CM	0	Discharged to Location
13	2	IS	0	Re-admission Indicator	38	80	CE	0	Diet Type
14	3	IS	0	Admit Source	39	2	IS	0	Servicing Facility
15	2	IS	0	Ambulatory Status	40	1	IS	В	Bed Status
16	2	IS	0	VIP Indicator	41	2	IS	0	Account Status
17	60	XCN	0	Admitting Doctor	42	80	PL	0	Pending Location
18	2	IS	0	Patient Type	43	80	PL	0	Prior Temporary Location
19	20	CX	0	Visit Number	44	26	TS	0	Admit Date/Time
20	50	FC	0	Financial Class	45	26	TS	0	Discharge Date/Time
21	2	IS	0	Charge Price Indicator	46	12	NM	0	Current Patient Balance
22	2	IS	0	Courtesy Code	47	12	NM	0	Total Charges
23	2	IS	0	Credit Rating	48	12	NM	0	Total Adjustments
24	2	IS	0	Contract Code	49	12	NM	0	Total Payments
25	8	DT	0	Contract Effective Date					

PV1||I|CCU^CCU31^31|ELE||PED^300^B|DEM^DEMING,RICHARD E|^|^|CCU||||AR OU||Y|DEM^DEMING,RICHARD E|IN||SP||||||||||||||||||||||||SWA||ADM IN|||200402181007||^

HL7 Messaging Fields

Fields:

- Defined as a string of characters
- Transmitted as character string and the sending and receiving systems are responsible for assigning "meaning" to each string.
- (Ex: patient name of "John Smith" is transmitted in the field designated for the patient name (PID-5), the receiving system is responsible for retrieving this data within the message and assigning this to the patient name field in their system.
- Each field has a specified length, data which exceeds this length is ignored.
- Each segment is made up of Required and Optional fields. Required fields must be present in message for proper function, Optional fields may be used if desired but are not mandatory.
- Some fields may repeat if defined as repeatable type fields.
- Data Types are used to specify what kind of data is stored in each field. This is then used by the receiving system for proper processing of the data.

HL7 Messaging Fields

Data Type	Mnemonic	Description		
HL7	AD	Address		
	CE	Coded element		
	DT	Date		
	DTM	Date/time		
	FC	Financial class		
	FT	Formatted text		
	ID	Coded values for HL7 tables		
	МО	Money		
	NM	Numeric		
	ST	String		
	TM	Time		
	TN	Telephone number		
	TS	Time stamp		

(and many others!)

HL7 Messaging Fields

SEQ	LEN	DT	OPT	ELEMENT NAME		
1	1	ST	R	Field Separator		
2	4	ST	R	Encoding Characters		
3	180	HD	Ο	Sending Application		
4	180	HD	Ο	Sending Facility		
5	180	HD	Ο	Receiving Application		
6	180	HD	Ο	Receiving Facility		
7	26	TS	О	Date/Time Of Message		
8	40	ST	Ο	Security		
9	7	CM	R	Message Type		
10	20	ST	R	Message Control ID		
11	3	PT	R	Processing ID		
12	8	ID	R	Version ID		
13	15	NM	Ο	Sequence Number		
14	180	ST	Ο	Continuation Pointer		
15	2	ID	Ο	Accept Acknowledgment Type		
16	2	ID	Ο	Application Acknowledgment Type		
17	2	ID		Country Code		
18	6	ID	Ο	Character Set		
19	60	CE	ο	Principal Language Of Message		

Now that we've taken a look at some message construction items let's focus on the most common HL7 interfaces:

- Admission, Discharge and Transfer (ADT)
- Order Entry (ORM)
- Ancillary Data Reporting (ORU)

Admission, Discharge and Transfer (ADT)

- ADT is the most common HL7 interface since many systems on the network require an active patient list.
- Typically used to sync up any outside system with a patient list from an Admissions application.
- Sends patient demographic, visit, insurance and diagnosis information.
- Every HL7 message is generated based on an event trigger in the source application. (*ex: new patient is registered, patient merges, patient room changes*)

ADT Sample:

MSH ~\& | Iatric || || 20050110045504 || ADT^A01 | 599102 | P | 2.3 || | PID | 1 || 10006579^^^1 MRN^1 || SMITH^JOHN^D || 19241010|M||1|111 MAIN ST^^ANYTOWN^CA^999990000^^M| 1|8885551212|8885551212|1|2||40007716^^^^VN^1|123121234||||||||NO NK1|1|SMITH^HUEY|SO|3583 MUSE RD^^ANYTOWN^CA^999990000|8885552222||Y||||||||||||PV1|1|I|PREOP^101^1^1^^^S|3||| 37^DISNEY^WALT^^^^^Iatric^^^^CI|||01||||1|||37^DISNEY^WALT^^^^^Iatric^^^^CI|2| ^ANYTOWN^CA^999990000|8885551212||19241010|M||1|123121234||||ACME INC|111^MAIN ST^^ANYTOWN^ CA^999990000|8885551212||PT| DG1] |19|71596^OSTEOARTHROS NOS-L/LEG ^19|OSTEOARTHROS NOS-L/LEG ||A| **(IN1**]]MEDICARE|3|MEDICARE||||||ACME INC|19891001|||4|SMITH^DONALD^D|1|19241010|111^MAIN ST^^ IN12 NON-PRIMARY 9 MEDICAL MUTUAL CALIF. PO BOX 44776^^HOLLYWOOD^CA^441414776 8003621279|PUBSUMB|||ACME INC ||||7|SMITH^DONALD^D|1|19241010|111 MAIN ST^^ANYTOWN^CA ^999990000||||||||||||||056269770|||||PT|M|111^MAIN ST^^ANYTOWN^CA^999990000||||8291 IN2|2||

Let's focus on the most common HL7 interfaces:

- Admission, Discharge and Transfer (ADT)
- Order Entry (ORM)
- Ancillary Data Reporting (ORU)

Order Entry (ORM)

- ORM are used to send general order messages:
 - Radiology Orders
 - Order Entry (OE) Orders
 - Laboratory Orders (MIC, BBK, PTH, LAB)
 - Pharmacy orders are not usually ORM type
- ORM events are triggered by NEW, CANCEL, EDIT, HOLD, REACTIVATE order activity within the source application
- Each order typically includes some identifying number which uniquely identifies the order in the source application (*ex: Order number*, *specimen number*)

ORM Sample:

Let's focus on the most common HL7 interfaces:

- Admission, Discharge and Transfer (ADT)
- Order Entry (ORM)
- Ancillary Data Reporting (ORU)



Order Entry (ORU)

- ORU are used to send:
 - Radiology Reports
 - Departmental Reports
 - Nursing Results
 - Laboratory Results (MIC, BBK, PTH, LAB)
- ORU events are triggered in NEW, CANCEL, or UPDATE
- May contain orders if orders have been attached to each result in the source application but not required

ORU Sample

MSH|^~\&|Iatric||||20050110045504||ORU^R01|599102|P|2.3|||

NK1|2|Jones^Jane^Lee^^RN|FVP^Form completed by (Name)-Vaccine provider^HL70063|101 Main Street^^Atlanta^GA^38765^^O^^GA121||(404) 554-9097^^WPN|

ORC|CN||||||||1234567^Welby^Marcus^J^Jr^Dr.^MD^ L|||||||Peachtree Clinic|101 Main Street^^Atlanta^GA^38765^^O^AGA121|(404)

554-9097^^WPN|101 Main Street^^Atlanta^GA^38765^^O^^GA121|

OBR[1][]^CDC VAERS-1 (FDA) Report[][20010316]

OBX 1 NM 21612-7^Reported Patient Age^LN 05 mo^month^ANSI

OBX|1|TS|30947-6^Date form completed^LN||20010316|

OBX[2]FT]30948-4^Vaccination adverse events and treatment, if any^LN|1|fever of 106F, with vomiting, seizures, persistent crying lasting over 3 hours, loss of appetite]

OBX[3]CE[30949-2^Vaccination adverse event outcome^LN[1]E^required emergency room/doctor visit^NIP005]

OBX[4]CE[30949-2^Vaccination adverse event outcome^LN[1]H^required hospitalization^NIP005]

OBX|5|NM|30950-0^Number of days hospitalized due to vaccination adverse event^LN|1|02|d^day^ANSI|

OBX|6|CE|30951-8^Patient recovered^LN||Y^Yes^ HL70239|

OBX[7]TS[30952-6^Date of vaccination^LN][20010216]

OBX[8]TS[30953-4^Adverse event onset date and time^LN][200102180900]

OBX 9 FT 30954-2^Relevant diagnostic tests/lab data^LN Electrolytes, CBC, Blood culture

OBR|2|||30955-9^All vaccines given on date listed in #10^LN|

OBX 1 CE30955-9&30956-7 Vaccine type LN 1 08 HepB-Adolescent/pediatric CVX

OBX 2 CE 30955-9&30957-5^Manufacturer^LN MSD^Merck^MVX

OBX|3|ST|30955-9&30959-1^Lot number^LN|1|MRK12345|

OBX 4 CE 30955-9&30958-3^ Route^LN 1 IM^Intramuscular ^HL70162

OBX[5]CE[30955-9&31034-2^Site^LN]1|LA^Left arm^ HL70163]

OBX 6 NM 30955-9&30960-9^Number of previous doses^LN 1011

OBX|7|CE|CE|30955-9&30956-7^Vaccine type^LN|2|50^DTaP-Hib^CVX|

OBX|8|CE|30955-9&30957-5^ Manufacturer^LN|2|WAL^Wyeth_Ayerst^MVX|

OBX[9|ST|30955-9&30959-1^Lot number^LN|2|W46932777]

OBX 10 CE 30955-9&30958-3^ Route^LN 2 IM^Intramuscular^HL70162

HL7 Messaging Common Segments

We just looked at HL7 ADT, ORM and ORU. They have several common segments which contain Patient Info ([] = optional, {} = repeating):

	ADT		ORU		ORM
MSH	Message Header	MSH	Message Header	MSH	Message Header
EVN	Event Type	[PID	Patient Identification	[{ NTE }]	Notes and Comments
PID	Patient Identification	[PD1]	Additional Demographics	[
[PD1]	Additional Demo	[{NK1}]	Next of Kin	PID	Patient Identification
[{NK1}]	Next of Kin	[{NTE}]N	lotes and Comments	[PD1]	Additional Demographics
PV1	Patient Visit	[PV1	Patient Visit	[{ NTE }]NO	Detioned Wield
[PV2]	Patient Visit - Add Info.	[PV2]]	Patient Visit - Add Info		Patient Visit Additional Info
[{DB1}]	Disability Information				Insurance
[{OBX}]	Observation/Result	{		[[IN2]	Insurance Additional Info
		[ORC]	Order common	[IN3]	Insurance Add'l Info - Cert.
[{AL1}]	Allergy Information	OBR	Observations Report ID	}]	
[{DG1}]	Diagnosis Information	{[NTE]}	Notes and comments	[GT1]	Guarantor
[DRG]	Diagnosis Related Group	{		[{ AL1 }]	Allergy Information
[{ PR1	Procedures	[OBX]]	
	[{ROL}] Role	Observation/	Result	{	
}]		{[NTE]}	Notes and comments	ORC	Common Order
[{GT1}]	Guarantor	}		l Order Det	tail Commant OPD ata
[Clinical Trial Identification		Notes and Comments (for Detail)
{ IN1	Insurance	}		$[\{\mathbf{DG1}\}]$	Diagnosis
[IN2]	Insurance Additional Info.	[DSC]	Continuation Pointer	[[]]	Diagnoolo
[{IN3}]	Insurance Add'l Info - Cert.			{	
}				OBX	Observation/Result
í				[{NTE}]	Notes and Comments (for Results)
Í ACC]	Accident Information			}	
[UB1]	Universal Bill Information]	
[UB2]	Universal Bill 92 Information				
[0 2 -]				{[CTI]}	Clinical Irial Identification
				BLG	Billing Segment

Custom Segments

Custom Segments

- "Z" segments can be used to send any custom defined information
- As long as HL7 rules are followed "anything goes" with custom segments.
- Sending and receiving applications need to be in agreement with content of each custom segment
- Unexpected segments could cause issues with a receiving system, each needs to be defined.

ZDR||1234567890|Murphy^Richard^MD|9876543210|Smith^John^MD|7777777777 Jagger^Mick^|55555555|Richards^Keith^|3333333333|Watts^Charlie^|...etc

HL7 Messaging Using NPR Report Writer

- Creating an NPR report in the format of an HL7 message is definitely a significant task but is doable.
- The report can be scheduled to deliver the file to an FTP server.
- NPR report interfaces are more batch mode than real-time.
- Hospitals have created HL7 NPR Report Interfaces for almost all message types.
- The specific requirements need to be reviewed when determining whether or not an NPR Report will get the job done but it is a viable option.

We have looked at HL7 message, segments and fields. Now let's look at how you transmit them to another vendor.

- Lower Level Protocol (LLP) is a term used when discussing the transmission of the HL7 Messages.
- Lower Levels (layers 1 through 4) support the actual transmission or movement of the data.
- The term Lower Level Protocol is referring to the portion of the ISO OSI (Open System Interconnect). The OSI is divided into seven layers or levels.

HL7 Messages are typically moved via a network connection between two systems that reside on the same network.

Each system has a role in the communication. One acts as the CLIENT and the other acts as the Server. Typically the one sending the data is the CLIENT, but that does not have to be.





The CLIENT will open a TCP/IP Socket with the SERVER.

• This connection will be exclusively used for these two systems to communicate.



Once the TCP/IP Connection is established the sending system can deliver an HL7:

MSH|^~\&||SEM|||200605221309||ADT^A04|ADT1.1.9198|P|2.1

EVN|A04|200605221309

PV1|1|O|RCA^^||||HARR^HARNER^ROBERT|HARR^HARNER^ROBERT|||||||||RCR||U||||

The receiving system will acknowledge the message using an

ACK Message:

MSH|^~\&||||SWA|200605221309||ACK|ADT1.1.9200|P|2.1||||


Data Exchange Standards

- The acknowledgment of delivery of a message is a significant feature that HL7 LLP provides.
- Message Control ID (MCI) is contained within the MSH Segment of the sending application.

MSH|^~\&||SEM|||200605221309||ADT^A04|ADT1.1.9198|P|2.1 EVN|A04|200605221309 PID|1||M000001327||TEST^RECURRING^^^^||19680215|F|^^^^||^^/|^^|||||||L000029512|74 DG1|1|TX||PROTIMES PV1|1|O|RCA^^||||HARR^HARNER^ROBERT|HARR^HARNER^ROBERT|||||||||RCR||U||||

MSH|^~\&||||SWA|200605221309||ACK|ADT1.1.9200|P|2.1|||| MSH|AA84||||SWA|200605221309||ACK|ADT1.1.9200|P|2.1|||| MSA|AA|ADT1.1.9198

• The acknowledgment contains the MCI of the message from the sending application and this confirms the message was received.

Data Exchange Standards



- Other methods can be used to deliver HL7 messages to the receiving system.
 - Send message via FTP.
 - FTPS FTP using SSL (Secure Socket Layer)
 Meditech Supports
 - SFTP FTP using SSH (Secure Shell)
 - Download and copy to shared folder.

• Secure transmission of Protected Healthcare Information (PHI) must be maintained: VPN, SFTP/FTPS, HTTPS, etc...

Data Exchange Standards

- HL7 also has a Batch Mode for transmitting messages. This method is used to transmit several HL7 messages at one time. Message structure:
- [FHS] (file header segment)
 { [BHS] (batch header segment)
 { [MSH (zero or more HL7 messages)
 ] }
 [BTS] } (batch trailer segment)
 [FTS] (file trailer segment) Notes:

Unsolicited vs. Solicited

- Unsolicited: Defines an interface that will send HL7 results without being asked, only requiring that a TCP/IP socket be established with the receiving system.
- Unsolicited interface is the source, providing the HL7 results to the destination system.



Unsolicited vs. Solicited

- Solicited: Defines an interface that will send an HL7 QRY (Query) requesting data, only requiring that a TCP/IP socket be established with the receiving system.
- Solicited interface is the destination, asking the source system for HL7 information.



Unsolicited vs. Solicited

Examples of Solicited Interfaces:

- HL7 ADT Query: Ancillary system asking for an MPI search based on patient information collected.
- HL7 ORM Order Query: Ancillary system asking for order information for a specific patient.
- HL7 ORU Report Query: Ancillary system asking for documents/reports for a specific patient.



Unsolicited vs. Solicited

Example QRY (from requesting application): MSH|^-\&|HHIS|HHIS|||200705261231||QRY|859465864|P|2.2| QRD|200705261231|R|I||||24^RD||DEM|HCS|| QRF|EPI||||TESTPATIENT^ROBERT^S^M^19610716&1&30^|

QRPRisponse that met the acknowledgitedrike QRY and contains the MCI.

Example QRY Response:

MSH|^~\&||SAHHCS|||200705261231||DSR||P|2.2

MSA AA 859465864

QRD|200705261231|R|I||||24^RD||DEM|HCS

QRF|EPI||||TESTPATIENT^ROBERT^S^M^19610716&1&30*

Uni vs. Bidirectional

- Unidirectional Interface: Defines an HL7 interface that is one way, source destination.
- Even though an ACK is returned from a destination when acknowledging an HL7 message, still considered unidirectional.
- Bidirectional Interface: Defines an HL7 interface that is interfaced in both directions, source destination and destination source.

Uni vs. Bidirectional

Uni vs. Bidirectional Test:

• HL7 ADT to Other Vendor (OV) with acknowledgement MSA?

Unidirectional

- HL7 QRY for patient information?
 Unidirectional
- PACS Interface, which consists of:
 - MT HL7 ADT to recipient
 - MT HL7 ORM/ORU to recipient
 - OV HL7 ORM Status Update to RAD System

Bidirectional

Uni vs. Bidirectional

- When discussing interfaces with vendors the terms unidirectional or bidirectional may come up depending upon the data exchange needed.
- "outbound" term also used to describe a system sending data out to another system
- "inbound" term also used to describe a system receiving data from another system.
- Important to clarify direction of data exchange when discussing multiple interfaces

Future of HL7

Version2.x Versus Version 3

- Version 2.x has been approved by an ANSI since the early 90's and is used throughout the healthcare industry almost exclusively.
- Version 3 is a departure from the 2.x version in how the messages are formatted but does offer some advantages for Web publishing and self documenting.
- Version 3 is based on XML, a Web-based language.
- Some movement in the market to transition to 3.
- Version 3 is more complex than v2.x

Sample XML code

```
<?xml version="1.0" encoding="UTF-8" standalone="yes" ?>
- <ClinicalDocument xmlns="urn:hl7-org:v3">
<realmCode code="US" />
<typeId extension="POCD_HD000040" root="2.16.840.1.113883.1.3" />
<templateId root="2.16.840.1.113883.10.20.1" />
<templateId root="2.16.840.1.113883.3.88.11.32.1" />
<templateId root="1.3.6.1.4.1.19376.1.5.3.1.1.6" />
<templateId root="1.3.6.1.4.1.19376.1.5.3.1.1.2" />
<templateId root="1.3.6.1.4.1.19376.1.5.3.1.1.1" />
<templateId root="2.16.840.1.113883.10.20.3" />
<templateId root="2.16.840.1.113883.3.88.11.83.1" />
<id root="1.2.840.113619.21.1.3214775675415888320.1636630734011800" />
<code displayName="Summarization of episode note" codeSystemName="LOINC" codeSystem="2.16.840.1.113883.6.1" code="34133-9" />
<title>Southside Clinic Clinical Summary</title>
<effectiveTime value="20111111113854-0500" />
<confidentialityCode codeSystem="2.16.840.1.113883.5.25" code="N" />
<languageCode code="en-US" />
- <recordTarget>
- <patientRole>
<id extension="234-TEST011" root="1.2.840.113619.21.1.3214775675415888320.2.1.1.1" />
- <addr use="HP">
<streetAddressLine>4839 NW Montgomery St.</streetAddressLine>
<city>Portland</city>
<state>OR</state>
<postalCode>97434</postalCode>
<country>US</country>
```

</addr> <telecom value="mailto:caldwells@uswest.com" /> <telecom use="WP" value="tel:+1-503-434-0090" /> <telecom use="HP" value="tel:+1-503-555-6054" /> _ <patient> - <name xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"</pre> xsi:type="PN"> <given>Walter</given> <given>S.</given> <family>Caldwell</family> </name> <administrativeGenderCode displayName="Male" codeSystem="2.16.840.1.113883.5.1" code="M" />

hTime value="19440302" /> <maritalStatusCode codeSystem="2.16.840.1.113883.5.2" code="M" /> <raceCode codeSystem="2.16.840.1.113883.6.238" code="2106-3" /> <ethnicGroupCode codeSystem="2.16.840.1.113883.6.238" code="2186-5" /> - <languageCommunication> <templateId root="2.16.840.1.113883.3.88.11.32.2" /> <templateId root="2.16.840.1.113883.3.88.11.83.2" /> <templateId root="1.3.6.1.4.1.19376.1.5.3.1.2.1" /> <languageCode code="en-US" /> <preferenceInd value="true" /> </languageCommunication> </patient> </patientRole>

Future of HL7

Some advantages with HL7 v3:

- Uses industry standard XML language
- Uses formal object oriented methodology (Reference Information Model, RIM)
- Designed to be a global standard for universal use
- Supports transfer of data larger than just messages which facilitates exchange of items such as documents
- Designed with interoperability in mind
- Designed to be machine readable, not human readable

Future of HL7

Version2.x Versus Version 3

Sample Message in v2.x and v3:

Version 2.3.1 (87 characters of data)

MSH|^~\&|LAB^foo^bar|767543|ADT|767543|19900314130405||ACK^|XX3657|P|2.3.1 MSA|AA|ZZ9380

```
HL7 Version 3 (477 characters of data)
<ACK>
<MSH>
```

<MSH.1>|</MSH.1> <MSH.2>^~\&</MSH.2> <MSH.3> <HD.1>LAB</HD.1> <HD.2>foo</HD.2> <HD.3>bar</HD.3> </MSH.3> $\langle MSH.4 \rangle$ <HD.1>767543</HD.1> </MSH.4> <MSH.5> <HD.1>ADT</HD.1> </MSH.5> <MSH.6> <HD.1>767543</HD.1> </MSH.6>

Message Continued: <MSH.7>19900314130405</MSH.7> $\langle MSH.9 \rangle$ <CM MSG TYPE.1>ACK</CM MSG TYPE.1> $\langle MSH.9 \rangle$ <MSH.10>XX3657</MSH.10> <MSH.11><PT.1>P</PT.1></MSH.11> <MSH.12> <VID.1>2.3.1</VID.1> </MSH.12> $\langle MSH \rangle$ $\langle MSA \rangle$ $\langle MSA.1 \rangle AA \langle MSA.1 \rangle$ <MSA.2>ZZ9380</MSA.2> $\langle MSA \rangle$ </ACK>

HL7 Version 3 XML

Closer Look

HL7 Version 2.3.1 – 87 characters MSH|^~\&|LAB^foo^bar|767543|ADT|767543|19900314130405||ACK^|XX3657|P|2.3.1 MSA|AA|ZZ9380

HL7 Version 3 – 477 characters <ACK> Parent <MSH> Child <MSH.1> </MSH.1> <MSH.2>^~\&</MSH.2> <MSH.3> <HD.1>LAB</HD.1> <HD.2>foo</HD.2> <HD.3>bar</HD.3> </MSH.3> Removed some data to fit on slide <MSH.12> <VID.1>2.3.1</VID.1> </MSH.12> </MSH> $\langle MSA \rangle$ <MSA.1>AA</MSA.1> <MSA.2>ZZ9380</MSA.2> $\langle MSA \rangle$ </ACK>

HL7 3 is larger than 2.x, 5-11 times larger

HL7 3 is made up of data tags, beginning and ending each data definition and value.

HL7 3 is hierarchical, parent to child relationship

HL7 3 data is contained within data tags

HL7 3 data tags are constructed with <data tag> and ended with </data tag>

HL7 3 Parent tags encompass child tags

HL7 Version 3 XML

Closer Look

HL7 3 data tag definitions can be minimal or verbose (self-documenting)

Example Minimal Data Tag:

<MSH> <MSH.1>|</MSH.1> <MSH.2>^~\&</MSH.2> <MSH.3> <HD.1>HOSPITAL</HD.1> </MSH.3>

....some data not included

Example Verbose Data Tag: <MSH> <MSH_1_FieldSeparator>|</MSH_1_FieldSeparator> <MSH_2_EncodingCharacters>^~\& </MSH_2_EncodingCharacters> <MSH_3_SendingApplication> <EI> <EI_1_EntityIdentifier>HOSPITAL </EI_1_EntityIdentifier> </EI> </MSH_3_SendingApplication>some data not included

HL7 Version 3 Reference Information Model

Reference Information Model (RIM):

- The object oriented information model used as the foundation in HL7 v3.
- Made up of six core classes which define how the data is related:
 - Act actions



- Entity People, places and things
- Role Patient, location or care, specimen
- Act Relationship Used to connect acts
- Participation- Used to connect roles to acts
- Role Link Used to connect roles

Reference Information Model (RIM)

Reference Information Model (RIM) - time for more caffeine!



Reference Information Model

Reference Information Model (RIM):

- Classes use attributes to allow these six core classes to be used for everything needed for healthcare interoperability
- Example Acts attributes:
 - ID
 - Class
 - Codes
 - State
 - Mood
 - (and many others)

Reference Information Model

Example of ACT attributes:

	Act
(classCode : CS
	moodCode : CS
	id:DSET <ii></ii>
\langle	code : CD
	actionNegationInd : BL
	negationInd : BL
	derivationExpr : ST.SIMPLE
\langle	title : ED
	text : ED
	statusCode : CS
	recordStatusCode : CS
	effectiveTime : QSET <ts></ts>
	activityTime : QSET <ts></ts>
	availabilityTime : TS
	priorityCode : DSET <cd></cd>
	confidentialityCode : DSET <cd></cd>
	repeatNumber : IVL <int.nonneg></int.nonneg>
	interruptibleInd : BL
	levelCode : CD
	independentInd : BL
	uncertaintyCode : CD
	reasonCode : DSET <cd></cd>
	languageCode : CD
	contextConductionStyleCode : CS

Reference Information Model

Example of Act Mood attribute:

Moods of a Lab Observation

 The Doctor's Order that an Observation be performed (mood=RQO)

- V2 Placer Order

The Laboratory Promise to Carry Out the Doctor's Request. Observation (mood=PRMS)

V2 Filler Order

 The Actual Observation Process culminating in a Finalized Result (mood=EVN)

V2 Observation

HL7 Version 3 Reference Information Model

Another example of Act Mood attribute:

Moods of a Patient Encounter



Refined Reference Information Model

Refined Reference Information Model (RMIM):

- RIM alone is too general to specify the requirements for a specific v3 object
- RMIM created to solve this problem.
- RMIM is a refinement of the RIM for a specific case.
- Model which shows all of the data for a particular message/set of messages
- All RMIMs are derived from the RIM
- There is only one RIM but many, many RMIMS

Refined Reference Information Model

Refined Reference Information Model (RMIM):

- Color coded similar to RIM
- Acts, Entities and Roles shown as boxes

• Participations and Act Relationships show as directional boxes



- Choices are shows as dashed boxes
- (and more)

Refined Reference Information Model

RMIM example:

- Patient arrives at Good Health Hospital Emergency Room via ambulance
- Patient is in respiratory distress and has an accelerated heartbeat.
- Physician on duty feels that he should be treated at this time.
- Pulmonologist will be needed for consultation
- Patient is admitted
- *(lets see how this could look on a RMIM)*

Refined Reference Information Model



4

What is Interoperability? (one of many definitions)

"Interoperability is the ability of two or more systems or components to exchange information and to use the information that has been exchanged.

- **Functional** interoperability is the capability to reliably exchange information without error.
- Semantic interoperability is the ability to interpret, and, therefore, to make effective use of the information so exchanged."



- **Syntactic**: Utilizing international standard data formats and communication protocols
- **Process Interoperability**: The degree to which the integrity of workflow processes can be maintained between systems
- Semantic Interoperability: Ensures information sent and received between systems is unaltered in its meaning. It is understood the same way by both receiver and sender.
- Technical Interoperability: Systems send and receive data successfully

Interoperability goals:

- Meaningful Use Stage 1
 - Core Objective 11 Electronic copy of health information
 - Core Objective 13 Exchange key clinical information
 - Menu Set Objective 7 Provide summary care record



Connecting to patients

- Patient access to data from comfort of home
- Patient access to their health records on demand
- Integration with vendor products such as Microsoft Health Vault and others

Interoperability goals:

<u>Connecting providers</u>



- Real-time access to patient records
- Ability to place patient orders in provider's office
- Provider access to patient's complete records
- Meaningful Use incentives
- Better information=better patient care

Interoperability goals:

- <u>Connecting with Communities</u>
 - HIEs becoming more common (Health Information Exchange)
 - EMR agnostic, crosses EMRs
 - Long term repository storage of patient data
 - Real-time access of patient data community wide!



HL7 and Interoperability, pulling it all together:

- HL7 used to transmit patient data in messages
- HL7 v2.x works very well for this but does not support transmitting larger document type items very well.
- HL7 v3 supports transmission of document type items and intricate patient care workflows.
- Because of these features HL7 v3 is a better fit for interoperability needs than v2.x
- Let's take a look at the documents that we would get into with interoperability.

Many Interoperability Standards



Clinical Document Architecture

Clinical Document Architecture (CDA):

- A specification for document exchange developed by HL7.org. All other documents types based on CDA.
- Defines the "rules" for clinical document exchange
- Uses



- XML
- RIM
- Codification (SNOMED, ICD...etc)
- Can have many different looks and feels, can be simple or complex depending upon the implementation

Clinical Document Architecture

Clinical Document Architecture (CDA):

A clinical document must have the following characteristics:

- **Persistence** document exists over time and can be used in many contexts.
- Stewardship documents must be managed by a steward.
- **Potential for authentication** intended to be used as a legally authenticated document.
- **Context** "who, what, when, where and why" need to be answered in the document.
- Wholeness authentication spans the whole document, not just portions.
- Human readability for authentication.
Clinical Document Architecture

Clinical Document Architecture (CDA):

- Header data required for document discovery and management. This outlines the patient, providers and document type.
- Body Relevant clinical data meeting the criteria, made up of defined Sections.
- Can include many different items such as images, text, graphics, sounds....etc
- Simple CDAs can be created and expanded over time
- Currently used in many implementations in the US and world wide

Clinical Document Architecture

CDA Guiding principles:

- Focus on patient care
- Minimize technical barriers to implement
- Promote longevity of clinical records and information
- Promote exchange of information independent from the underlying transfer mechanism
- Enable policy makers to control the information requirements

Clinical Document Architecture

CDA Benefits:



- Industry accepted, internationally accepted and implemented
 - Strong vendor support with interest growing
- Includes many coding vocabularies (SNOWMED, LOINC, RXNORM)
- Promote longevity of clinical records and information
- Promote exchange of information independent from the underlying transfer mechanism
- Enable policy makers to control the information requirements

Continuum of Care Document

Types of documents involved with interoperability:

CCD (Continuum of Care Document)

- Standards are governed by HL7.org
- Contains 16 sections to choose from with at least 2 required
- Contain an entire visit summary and can span multiple patient visits
- Codification rules in place (ex: LOINC, SNOWMED, RXNORM)

Continuum of Care Document

Sample CCD:

Iatric Memorial Hospital Continuity Of Care Document							
Patient	Catherine C Demo						
Date of birth	October 17, 1970 Sex Female						
Contact info	123 Elk Street St Marys, Pa 15857, US Primary Home: (814)788-1234	Patient IDs	M795				
Performer (primary care physician)	James E Devlin of Iatric Memorial Hospital						
Author	James E Devlin, Iatric Memorial Hospital						
Guardian	Catherine C Demo						
Contact info	123 Elk Street St Marys, Pa 15857 Primary Home: (814)788-1234						
Next of kin	Charles Clay Demo						
Contact info	123 Elk Street St Marys, Pa 15857 Primary Home: (814)788-1234						
Informant	Iatric Memorial Hospital						
Document maintained by	Iatric Memorial Hospital						

Table of Contents

- Summary Purpose
- Advance Directives
- Results
- <u>Vital Sign</u>

Another sample CCD:

🔆 💠 🌈 latric Memorial Hospital Continuity Of Care Document 👘

Iatric Memorial Hospital Continuity Of Care Document

Patient	Catherine C Demo							
Date of birth	October 17, 1970	Sex	Female					
Contact info	123 Elk Street St Marys, Pa 15857, US Primary Home: (814)788-1234	Patient IDs	M795					
Performer (primary care physician)	James E Devlin							
Author	James E Devlin							
Guardian	Catherine C Demo							
Contact info	123 Elk Street St Marys, Pa 15857 Primary Home: (814)788-1234							
Next of kin	Charles Clay Demo							
Contact info	123 Elk Street St Marys, Pa 15857 Primary Home: (814)788-1234							
Informant	Iatric Memorial Hospital							
Document maintained by	Tatric Memorial Hospital							

Table of Contents

Summary Purpose
Advance Directives

Results

Vital Signs

Medications

Summary Purpose

Patient Summary

Advance Directives

Directive	Response	Verification	
Does the PT have a Living Will:	Yes	James E Devlin	
List holder of Living Will:	Catherine C Demo	James E Devlin	
Is a copy of the Living Will on file at ERHC:	Yes	James E Devlin	
Does the PT have a Durable Power of Attorney:	Yes	James E Devlin	
List holder of Durable Power of Attorney:	Catherine C Demo	James E Devlin	
Is a copy of the Durable Power of Attorney on file at EHRC:	Yes	James E Devlin	

Results

	March 18, 2010	March 23, 2010						
Chemistry								
SODIUM, BLOOD (133-145 MMOL/L)	132*							
POTASSIUM, BLOOD (3.5-5.1 MMOL/L)	4.8							
CHLORIDE, BLOOD (98-111 MMOL/L)	92*							
TCO2, SERUM (21-31 MMOL/L)	38*							
Hematology								
WBC (4.8-10.8 X10^3/uL)	16.6*	12.2*						
RBC (4.20-5.40 X10^6/uL)	3.50*	3.90*						

Types of documents involved with interoperability:

C32

- Similar to CCD but standards are governed by HITSP (HealthCare Information Technology Standards Panel)
- Contains 16 sections to choose from with at least 5 being required
- Stricter than CCD
- Probably the most common document being exchanged at this time

Continuity of Care Record

DISC TWO

Types of documents involved with interoperability:

CCR (Continuity of Care Record)

CREEDENCE CLEARWATER REVIVAL

re governed ting and

different

record data th vault and

HL7 and Interoperability Document choices

Which one to use?

- CCD and C32 document formats are primarily used to exchange information between EHR, HIE, governmental agencies, etc. because of the standards imposed.
- CCR document formats are primarily used to exchange information with Personal Health Record Vendors such as Microsoft[®] HealthVault[®].
- Many other formats exist for specific needs, but are not as common in normal use.

Summary

Summary

- Health Level Seven is one of several <u>American National Standards Institute</u> (ANSI) accredited Standards Developing Organizations (SDOs) operating in the healthcare arena.
- Version 2.x messages made up Segments and Fields. Data is sent with Acknowledgement returned.
- Many different types of standard messages and segments to meet many different needs within healthcare
- Custom segments and fields can be created if needed
- Version 2.x is very robust but does not lend itself to transferring documents

Summary

Summary

- Version 3 allows lends itself much better to the transfer of documents. This uses XML at coding language and was designed with interoperability in mind.
- Version 3 uses the Reference Information Model (RIM) to address complex healthcare data relationships and scenarios
- Interoperability is the ability of two or more systems or components to exchange information and to use the information that has been exchanged.
- Interoperability is concerned with connecting patients, providers and communities to improve healthcare.

Summary

Summary

- Clinical Document Architecture (CDA) is a specification for document exchange to help facilitate interoperability
- CCD/CCR/C32 are all different types of documents, which one is used depends upon the goals trying to be accomplished, preference, cost, regulations and many other factors.

Thank you!

Questions?

We Can Help!



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- Attend our free monthly webcasts.
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Iatric Systems, Inc.

Thank you.



American Standard Code for Information Interchange (ASCII)

	Char	Dec	Char	Dec	Char	Dec	Char	Dec	Char	Dec	Char	Dec
	(nul)	0	(syn)	22	,	44	В	66	X	88	n	110
	(soh)	1	(etb)	23	-	45	С	67	Y	89	ο	111
	(stx)	2	(can)	24	•	46	D	68	Z	90	р	112
	(etx)	3	(em)	25	/	47	\mathbf{E}	69]	91	q	113
	(eot)	4	(sub)	26	0	48	F	70		92	r	114
	(enq)	5	(esc)	27	ASCII 28 is an HL7 Ending					93	s	115
	(ack)	6	(fs)	28						94	t	116
	(bel)	7	(gs)	29	IviCosa	ge Cha				95	u	117
	(bs)	8	(rs)	30	4	52	J	74	•	96	v	118
	(ht)	9	(us)	31	5	53	K	75	a	97	w	119
	(<u>nl</u>)	-10	ASCII 1	1 is an I	JI7 Ro	ainnina	-	76	b	98	x	120
\langle	(vt)	11		1 15 all 1		giiiiiig	\$	77	с	99	У	121
	(np)	12	Message	e Charac	cter			78	d	100	Z	122
\subseteq	(cr)	13) #	35	9	57	Ο	79	е	101	{	123
	(00)	14	¢	36	C	58	Р	80	f	102		124
AS	CII 13 is	an HL7	7 End Seg	gment	;	59	Q	81	g	103	}	125
Cha	Character				<	60	\mathbf{R}	82	h	104	~	126
	(uc 1)	± 7		00		61	S	83	i	105	(del)	127
	(dc2)	18	(40	>	62	Т	84	j	106		
	(dc3)	19)	41	?	63	U	85	k	107		
	(dc4)	20	*	42	@	64	V	86	1	108		
	(nak)	21	+	43	Α	65	W	87	m	109		